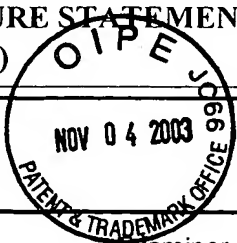


11-05-03

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 15436251.2.1	
In Re Application Of:    Yonglin Huang, et al.				
Serial No. 10/617,007	Filing Date July 10, 2003	Examiner Unassigned	Group Art Unit Unknown	
Title:  INTEGRATED OPTICAL PUMP MODULE				
Address to: Assistant Commissioner for Patents Washington, D.C. 20231				
<p style="text-align: center;"><b>37 CFR 1.97(b)</b></p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p style="text-align: center;"><b>37 CFR 1.97(c)</b></p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p style="padding-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center;"><b>OR</b></p> <p style="padding-left: 40px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>				





EXPRESS MAIL NO. EV 5 9425 US

PATENT APPLICATION  
Docket No: 15436.251.2.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of		)	
	Yonglin Huang, et al.	)	
Serial No.:	10/617,007	)	Art Unit
Confirmation No.:	3075	)	2874
Filed:	July 10, 2003	)	
For:	INTEGRATED OPTICAL PUMP MODULE	)	
Examiner:	Unassigned	)	

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record

believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

DATED this 4 day of November, 2003.

Respectfully submitted,



R. BURNS ISRAELSEN  
Attorney for Applicant  
Registration No. 42,685  
Customer No. 022913

RBI: kk

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PATENT APPLICATION

Docket: 15436.251.2.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of		)	
	Yonglin Huang, et al.	)	
Serial No.:	10/617,007	)	Art Unit
Confirmation No.:	3075	)	2874
Filed:	July 10, 2003	)	
For:	INTEGRATED OPTICAL PUMP MODULE	)	
Examiner:	Unassigned	)	

CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. § 1.8

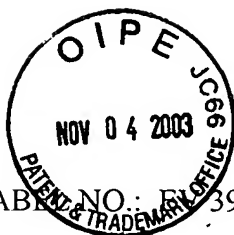
EXPRESS MAILING LABEL EV 396739425 US

I hereby certify that the following documents are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 4 day of November, 2003:

- Transmittal for Information Disclosure Statement (1 pg.)
- Information Disclosure Statement (2 pgs.)
- Form PTO-1449 (2 pgs.)
- Copy of (2) references
- Postcard

Respectfully submitted,

Kimberly Kendrick  
Paralegal to R. Burns Israelsen



EXPRESS MAIL LABEL NO.: E396739425 US

Form PTO-1449

Sheet 1 of 2

Applicant: Yonglin Huang, et al.

Serial No.: 10/617,007

Att'y Docket No.: 15436.251.2.1

Filing Date: July 10, 2003

Confirmation No.: 3075

For: INTEGRATED OPTICAL PUMP MODULE

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INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Patent Number</u>	<u>Issue Date</u>	<u>Name</u>	<u>Filing Date</u>
_____ A1.	6,282,025	08/28/01	Huang et al.	08/02/99

Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publ. Date</u>	<u>Country or Patent Office</u>	<u>Sub Class</u>	<u>Class</u>	<u>Trans- lation</u>
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NONE

Other Documents

(including author (if listed), title, relevant pages, date of publication including at least month and year).

Examiner  
Initial\*

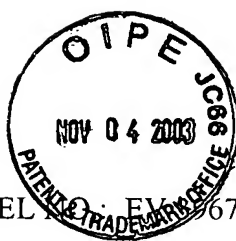
\_\_\_\_\_ A2. Optics, Eugene Hecht, Figure 8.52, pg. 306.

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Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



EXPRESS MAIL LABEL NO. 6739425 US

Form PTO-1449

Sheet 2 of 2

Applicant: Yonglin Huang, et al.

Serial No.: 10/617,007

Att'y Docket No.: 15436.251.2.1

Filing Date: July 10, 2003

Confirmation No.: 3075

For: INTEGRATED OPTICAL PUMP MODULE

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### References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.